

Docket No.: L&L-I0232

jc971 U.S. PTO
10/047013
01/16/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : WOLFGANG RÖSNER ET AL.
Filed : CONCURRENTLY HEREWITH
Title : METHOD FOR PRODUCING A VERTICAL SEMICONDUCTOR
TRANSISTOR COMPONENT AND A VERTICAL SEMICONDUCTOR
TRANSISTOR COMPONENT

INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner of Patents and Trademarks,
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. 1.98 copies of the following patents and/or publications are submitted herewith:

U.S. Patent 5,871,870 (Alwan), dated February 16, 1999;

German published Non-Prosecuted Patent Application DE 42 35 152 A1 (Winkler et al.), dated April 21, 1994, method for fabricating a semiconductor fine structure and semiconductor components produced thereby, for example vertical transistors;

Patent Abstracts of Japan 08 306 905 A (Hiroshi), dated November 22, 1996;

International Search Report, dated July 16, 1999;

✓ International Search Report, dated January 31, 2001.

If no translation of pertinent portions of any foreign language patents or publications mentioned above is included with the aforementioned copies of those applications, patents and/or publications, it is because no existing translation is readily available to the applicant.

Respectfully submitted,

For Applicants

Date: January 16, 2002

REGISTRATION NO. 3,200,600
REC. NO. 20,500

Lerner and Greenberg, P.A.
Post Office Box 2480
Hollywood, FL 33022-2480
Tel: (954) 925-1100
Fax: (954) 925-1101

/kf

FORM PTO-1449 (SUBSTITUTE)		Attorney Docket No.: L&L-I0232 Appl. No.	
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (37 CFR 1.98(b))		Applicant WOLFGANG RÖSNER ET AL.	
		Filing Date January 16, 2002 Group Art Unit	

JG977013
10/04/013
01/16/02



EXAMINER INITIALS		PATENT NO.	DATE	PATENTEE	CLASS	SUB CLASS	FILING DATE
	A	5,871,870	2/16/99	Alwan			
	B						
	C						
	D						
	E						
	F						
	G						
	H						
	I						

FOREIGN PATENT DOCUMENT

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSL. YES NO
	J	42 35 152 A1	4/21/94	Germany			
	K	08 306 905 A	11/22/96	Japan			
	L						
	M						
	N						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.